

Finite segments of the harmonic series

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Dedicated to Paul Erdős 1913-1996

Abstract

Let $\sigma(m, k) := \sum_{j=0}^{k-1} 1/(m+j)$. For $\{m, k, m'\} \subset \mathbf{N}$ we define k' by $\sigma(m', k' - 1) < \sigma(m, k) \leq \sigma(m', k')$. Extending work by Taisinger, Kürschák, Erdős, Belbachir, and Khelladi, we prove:

1. If $\sigma(m, k)$ is the reciprocal of an integer then $k = 1$.

2. $(k \notin [2, m] \vee k' \geq 2(m+k) \vee m' \geq 4m^2) \Rightarrow \sigma(m', k') \neq \sigma(m, k)$.

Conjecture: The function $\sigma : \mathbf{N} \times \mathbf{N} \rightarrow \mathbf{Q}^+$ is injective.

§1. Introduction.

This paper studies the set H of all *harmonic* rationals,

$$\sigma(m, k) := \sum_{j=0}^{k-1} \frac{1}{m+j}, \text{ where } \langle m, k \rangle \in \mathbf{N}^2 \text{ and } \mathbf{N} := \{1, 2, 3, \dots\}.$$

It focuses on the function $\sigma : \mathbf{N}^2 \rightarrow \mathbf{Q}^+$ defined by $\sigma : \langle m, k \rangle \mapsto \sigma(m, k)$.

Let $H_n := H \cap \{\sigma(m, k) : m \geq n\}$. Of course $H = H_1 \supseteq H_2 \supseteq H_3 \supseteq \dots$

Theorem 1. *Let $n \in \mathbf{N}$. Then H_n is dense in $[0, \infty)$.*

Proof. Let $0 < l < r$ be real numbers. There exists an integer $m > n$ such that $1/m < \min\{l, r-l\}$. Since $\lim_{j \rightarrow \infty} \sigma(m, j) = \infty$, there exists $k \geq 2$ such that $\sigma(m, k-1) \leq l < \sigma(m, k)$. Hence $l < \sigma(m, k) = \sigma(m, k-1) + 1/(m+k-1) < l + 1/m < l + (r-l)$. So $\sigma(m, k) \in (l, r)$. ■

This paper partially supports our belief that $\sigma : \mathbf{N}^2 \rightarrow \mathbf{Q}^+$ is injective.

Via the notion of a prime power that is “sylvester” for a set of integers, we consider the coprime pairs $\langle \nu, \delta \rangle$ such that $\nu/\delta \in H$.

In 1915, L. Taisinger proved that $\sigma(1, k) \in \mathbf{N}$ only if $k = 1$. In 1918, J. Kürschák showed that $\sigma(m, k)$ is an integer only if $m = k = 1$. P. Erdős, [1932E] and Page 157 in [1998H], extended Kürschák's theorem to finite arithmetic subseries of the harmonic series:

$$\sum_{j=0}^{k-1} \frac{1}{m + dj} \notin \mathbf{N} \quad \text{if } (m, d) = 1.$$

H. Belbachir and A. Khelladi [2007BK] generalized the Erdős result thus: For $\alpha_0, \alpha_1, \dots, \alpha_{k-1}$ any positive integers,

$$\sum_{j=0}^{k-1} \frac{1}{(m + dj)^{\alpha_j}} \notin \mathbf{N} \quad \text{if } (m, d) = 1.$$

Let $1/\mathbf{N} := \{1/n : n \in \mathbf{N}\}$. We will prove: $\sigma(m, k) \in 1/\mathbf{N} \Rightarrow k = 1$.

We will write $x \approx y$ to assert that $|x - y|$ is small enough not to invalidate size relationships based upon our approximation to $x = y$.

§2. Sylvester powers.

For S a finite nonempty set of positive integers, $\sigma(S) := \sum_{j \in S} 1/j$, and $\mu(S) :=$ the least common multiple of the elements in S . Observe that

$$\sigma(S) = \frac{\sigma(S)\mu(S)}{\mu(S)} = \frac{\nu(S)}{\delta(S)}, \quad \text{and that } \{\sigma(S)\mu(S), \mu(S)\} \subset \mathbf{N}, \quad \text{where}$$

$\langle \nu(S), \delta(S) \rangle$ is a uniquely determined coprime pair of positive integers.

Our interest lies in the intervals $[m, m + k) := \{m, m + 1, \dots, m + k - 1\}$ of consecutive positive integers. We write $\sigma([m, m + k))$, $\mu([m, m + k))$, *etc.* simply as $\sigma(m, k)$, $\mu(m, k)$, *etc.*; indeed we may simplify further by writing instead σ , μ , and so forth when m and k are understood.

We evoke two classic results:

Bertrand's Postulate/Chebyshev's Theorem. (See Erdős [1934E]) *If $2 \leq n \in \mathbf{N}$ then there is a prime $p \in (n, 2n)$.*

Sylvester's Theorem. ([1892S] and [1934E]) *If $m > k$ then $p|\mu$ for some prime $p > k$.*

One writes $x^n \parallel y$ in order to state that both $x^n | y$ and $\neg x^{n+1} | y$.

Definition. If $X \subseteq \mathbf{N}$, if p is a prime, and if $v \in \mathbf{N}$, then we call p^v *sylvester* for X iff $p^v \parallel \mu(X)$ while $p^v | s$ for exactly one element $s \in X$.

$\mathbf{S}(X) := \{p^v : p^v \text{ is sylvester for } X\}$, and $\mathbf{S}(m, k) := \mathbf{S}([m, m+k])$.

Lemma 2. (Noted also in [2007BK]) *Let the prime power p^v be sylvester for a finite nonempty set $F \subseteq \mathbf{N}$. Then $p^v \parallel \delta(F)$.*

Proof. Let x_p be the unique element in F with $p^v \parallel x_p$. Among the $|F|$ distinct integers $\mu(F)/x$ whose sum is $\mu(F)\sigma(F)$, only $\mu(F)/x_p$ fails to be a multiple of p . So p is not a factor of the integer $\mu(F)\sigma(F)$. Thus $p^v \parallel \delta(F)$, since $p^v \parallel \mu(F)$ and since $\mu(F)\sigma(F)/\mu(F) = \nu(F)/\delta(F)$. ■

Lemma 3. *For each $\langle m, k \rangle$ with $k \geq 2$, there is a 2^v that is sylvester for $[m, m+k]$. Indeed $k/2 < 2^v < m+k$.*

Proof. Since $k \geq 2$ we have that $2^v \parallel \mu(m, k)$ for some $v \geq 1$. Let s be the smallest multiple of 2^v in $[m, m+k]$. Plainly $s = 2^v a$ for some odd integer a . Then $b := 2^v a + 2^v$ is the smallest multiple of 2^v with $b > s$. Since $b = 2^v(a+1)$, and since the integer $a+1$ is even, we have that $2^{v+1} | b$. Hence $b \geq m+k$ since $\neg 2^{v+1} | \mu(m, k)$. Thus s is the only multiple of 2^v in $[m, m+k]$. So 2^v is sylvester for $[m, m+k]$. The first claim is established. The second claim follows from the facts that $2^{v+1} | \mu(m, k)$ if $2^v \leq k/2$, and that $2^v < m+k$ since $2^v | \mu(m, k)$. ■

Corollary 4. *If $\langle m, k \rangle \neq \langle 1, 1 \rangle$ then $\mathbf{S}(m, k) \neq \emptyset$.* ■

Remark. If $p > 2$ is prime then $\neg(p^v \parallel \mu(F) \Rightarrow p^v \in \mathbf{S}(F))$. *E.g.*, 5^2 is not sylvester for $[25, 51] := \{25, 26, \dots, 50\}$ although $5^2 \parallel \mu(25, 26)$.

Kürschák's Theorem. ([1918K]) *If $\sigma(m, k) \in \mathbf{N}$ then $m = k = 1$.*

Proof. Let $\langle m, k \rangle \neq \langle 1, 1 \rangle$. Then Lemmas 2 and 3 imply that $\delta(m, k)$ is even. So $\nu(m, k)/\delta(m, k)$ is not an integer. ■

Corollary 5. *If $a/b \in H$ with $(a, b) = 1$ and with $a > 1$, then $2 | b$.* ■

Corollary 6. Let $a/b \in H$ with $(a, b) = 1$. Then there is a pair $\langle m, k \rangle$ such that $b|\mu(m, k)$, and such that $\mu(m, k)/b = \sigma(m, k)\mu(m, k)/a$.

Proof. There exists $\langle m, k \rangle \in \mathbf{N}^2$ for which $\langle a, b \rangle = \langle \nu(m, k), \delta(m, k) \rangle$. But ν/δ is the lowest-terms form of the rational number $\sigma\mu/\mu$. It follows that $\mu/b = q = \sigma\mu/a$ for some $q \in \mathbf{N}$. ■

Corollary 7. The integer $\mu/\delta = \sigma\mu/\nu$ is a product of powers p^n of odd primes $p \leq k$. ■

The next result engenders our guess that $\sigma : \mathbf{N}^2 \rightarrow \mathbf{Q}^+$ is injective.

Theorem 8. If $k \geq 2$ then $\sigma(m, k) \notin 1/\mathbf{N}$.

Proof. Pretend the theorem fails for a given $k \geq 2$ and $m \geq 1$. That is, we pretend that $\sigma = 1/\delta$.

If $k\delta < m$ then $1/k\delta > 1/m > 1/(m+1) > \dots > 1/(m+k-1)$, whence $1/\delta = k \cdot 1/k\delta > 1/m + 1/(m+1) + \dots + 1/(m+k-1) =: \sigma$. Similarly, if $k\delta > m+k-1$ then $1/\delta < \sigma$. Hence $m \leq k\delta \leq m+k-1$ if $\sigma = 1/\delta$.

From $k \geq 2$ we get $1/m < \sigma = 1/\delta$. Thus $2 \leq \delta < m$. So $k\delta \leq m+k-1$ implies $k \leq k(\delta-1) \leq m-1 < m$, giving us $m > k$. Sylvester's theorem implies that there is a prime $p > k$ and $v \in \mathbf{N}$ such that $p^v \in \mathbf{S}(m, k)$. Then $p^v \| x_p$ for exactly one $x_p \in [m, m+k)$, and $(p, x) = 1$ for every $x \in [m, m+k) \setminus \{x_p\}$. Hence $(\mu/x_p, p) = 1$, while $p|\mu/x$ for each $x \in [m, m+k) \setminus \{x_p\}$. So $(\mu\sigma, p) = 1$ while $p^v \| \mu$. Therefore $p^v \| \delta$, since $\mu\sigma/\mu = 1/\delta$. We must infer that $x_p = k\delta$.

Define $\sigma' := \sigma - 1/k\delta = c/d$ with $(c, d) = 1$. Then $\sigma' = 1/\delta - 1/k\delta = (k-1)/k\delta$. Now $p|k\delta$, but $(k-1, p) = 1$ since $p > k$. So $p|d$. On the other hand, $\sigma' = (\mu\sigma - \mu/k\delta)/\mu$, and $p^v | (\mu\sigma - \mu/k\delta)$ since $p^v \| \mu/x$ for every $x \in [m, m+k) \setminus \{k\delta\}$. Thus, recalling that $p^v \| \mu$ we infer that $(p, d) = 1$, reaching a contradiction: $p|d \wedge (p, d) = 1$. So $\sigma \neq 1/\delta$. ■

Notice that Theorem 8 implies that $H_n \supset H_{n+1}$ for all $n \in \mathbf{N}$.

Henceforth fix $\langle m, k, m' \rangle \in \mathbf{N}^3$ with $k \geq 2$ and $m' \geq m+k$; define $k' = \min\{j : \sigma(m', j) \geq \sigma(m, k)\}$. Observe that $0 \leq \sigma(m', k') - \sigma(m, k) < \sigma(m', k') - \sigma(m', k'-1) = 1/(m'+k'-1) \leq 1/(m+2k)$.

Theorem 9. Let $m' \leq k'$. Then $\sigma(m', k') \neq \sigma(m, k)$.

Proof. Since $2m' \leq m' + k'$, by Bertrand's Postulate there is a largest prime p with $m' \leq (m' + k')/2 < p < m' + k'$, and $2p \notin [m', m' + k']$. Surely p^1 is sylvester for $[m', m' + k']$, whence $p|\delta(m', k')$ by Lemma 2. But $p > i$ for every $i \in [1, m' - 1]$. Thus, $\neg p|j$ for all $j \in [m, m + k] \subseteq [1, m' - 1]$, and so $\neg p|\mu(m, k)$ whence $\neg p|\delta(m, k)$. Therefore $\sigma(m, k) \neq \sigma(m', k')$. ■

The following lemma utilizes a tightening of the fact that

$$\sigma(m, k) \approx \int_m^{m+k-1} \frac{dx}{x} = \ln\left(1 + \frac{k-1}{m}\right).$$

Lemma 10. *Let $k \geq 2$. Then $1 + k/m \leq m'/m < (k' - 1)/(k - 1)$.*

Proof. We can view $\sigma(m, k)$ as a step function above $1/x$, and note that

$$\sigma(m, k-1) > \ln\left(1 + \frac{k-1}{m}\right).$$

Therefore, since $\sigma(m, k) = \sigma(m, k-1) + 1/(m+k-1)$, we infer that

$$\frac{1}{m+k-1} < \sigma(m, k) - \ln\left(1 + \frac{k-1}{m}\right).$$

Next, viewing $\sigma(m+1, k-1)$ as a step function under $1/x$, we see that

$$\sigma(m+1, k-1) < \ln\left(1 + \frac{k-1}{m}\right)$$

and hence, since $\sigma(m, k) = \sigma(m+1, k-1) + 1/m$, we infer that

$$\sigma(m, k) - \ln\left(1 + \frac{k-1}{m}\right) < \frac{1}{m}.$$

Combining inequalities, we get that

$$\frac{1}{m+k-1} < \sigma(m, k) - \ln\left(1 + \frac{k-1}{m}\right) < \frac{1}{m}.$$

Similarly we infer that

$$-\frac{1}{m'} < \ln\left(1 + \frac{k'-1}{m'}\right) - \sigma(m', k') < -\frac{1}{m'+k'-1}.$$

From the immediately preceding two sets of inequalities we get that

$$\frac{1}{m+k-1} - \frac{1}{m'} < \ln\left(1 + \frac{k'-1}{m'}\right) / \left(1 + \frac{k-1}{m}\right) + \sigma - \sigma',$$

where σ and σ' are shorthand for $\sigma(m, k)$ and $\sigma(m', k')$, respectively. Recall that $\sigma - \sigma' \leq 0$. Since $m+k \leq m'$, we have that

$$0 < L := \frac{1}{m+k-1} - \frac{1}{m'} < \ln\left(1 + \frac{k'-1}{m'}\right) / \left(1 + \frac{k-1}{m}\right).$$

Therefore

$$1 < e^L < \left(1 + \frac{k'-1}{m'}\right) / \left(1 + \frac{k-1}{m}\right), \quad \text{whence}$$

$$1 + \frac{k'-1}{m'} > 1 + \frac{k-1}{m}.$$

The lemma follows. ■

Theorem 11. *Let $m < k$. Then $\sigma(m, k) \neq \sigma(m', k')$.*

Proof. Lemma 10 gives us that $1 \leq (k-1)/m < (k'-1)/m'$. So $m' < k'-1$ whence $\sigma(m, k) \neq \sigma(m', k')$ by Theorem 9. ■

Corollary 12. *Let $\sigma > 1/m + \ln 2$. Then $\sigma' \neq \sigma$.*

Proof. In our proof of Lemma 10 we saw that $\sigma < 1/m + \ln(1 + (k-1)/m)$. So $1/m + \ln 2 < \sigma < 1/m + \ln(1 + (k-1)/m)$ by hypothesis. It follows that $\ln 2 < \ln(1 + (k-1)/m)$. Thus $m < k-1$. So $\sigma' \neq \sigma$ by Theorem 11. ■

Given $\langle m, k, m' \rangle \in \mathbf{N}^3$, recall that k' is determined, and that if $\sigma(m', j) = \sigma(m, k)$ then $j = k'$. By Theorem 11, $\sigma(m', k') \neq \sigma(m, k)$ when the interval $[m, m+k)$ is “long”; *i.e.*, when $m < k$. Theorem 8 implies that for no m is there a $\langle m', k' \rangle$ such that $\sigma(m', k') = \sigma(m, 1)$.

We have not established the injectivity of σ for $2 \leq k \leq m$. But we now show that, for each m , there are at most finitely many $m' > m$ for which the possibility $\sigma(m', k') = \sigma(m, k)$ will not yet have been eliminated.

Theorem 13. *Let $k' \geq 2(m+k)$. Then $\sigma(m', k') \neq \sigma(m, k)$.*

Proof. By Lemma 3, $2^v \in \mathbf{S}(m, k)$ for some $v \in \mathbf{N}$. But $2^v \notin \mathbf{S}(m', k')$ since $2^{v+1} \mid \mu(m', k')$. So $2^{v+1} \mid \delta(m', k')$ by Lemma 2, while $2^v \parallel \delta(m, k)$. Since the fractions $\nu(m, k)/\delta(m, k)$ and $\nu(m', k')/\delta(m', k')$ are in lowest terms, we have that $\sigma(m', k') \neq \sigma(m, k)$. ■

Given m and k , clearly the integers m' and k' determine each other. For $2 \leq k < m$ this implies that $k' < 2(m+k) \Rightarrow m' < 4m^2$. In summary, $\sigma(m', k') = \sigma(m, k)$ remains unprecluded by Theorems 8, 11, and 13 only when all three of the following conditions obtain: $m \geq 3$ and $2 \leq k < m$ and $k' < 2(m+k)$. Note that $m'/m \approx k'/k$, with $k' \geq m'k/m > k' - 1$.

Thus, to prove σ injective, we need depose for each $m \geq 3$ fewer than $m^2 + m$ possible counterexamples.

We have shown that $\mathbf{S}(X) \neq \mathbf{S}(Y) \Rightarrow \sigma(X) \neq \sigma(Y)$, But regrettably $\neg[\mathbf{S}([x, y]) = \mathbf{S}([w, z]) \Rightarrow [x, y] = [w, z]]$. For example, $\mathbf{S}(\{5, 6, 7\}) = \{2, 3, 5, 7\} = \mathbf{S}(\{14, 15\})$. But $\mathbf{S}(\{14, 15, 16\}) = \{2^4, 3, 5, 7\} \neq \mathbf{S}(\{5, 6, 7\})$. Indeed, $\mathbf{S}(\{5, 6, 7\}) \neq \mathbf{S}([14, 14+k])$ for every $k \geq 3$.

Echoing Sylvester, upon its verification the following narrowly germane guess would immediately establish that $\sigma : \mathbf{N}^2 \rightarrow \mathbf{Q}^+$ is injective.

Conjecture. If $m+2 \leq m+k \leq m'$ then $\mathbf{S}(m', k') \neq \mathbf{S}(m, k)$.

Definition. We call a family \mathcal{D} of finite subsets of \mathbf{N} *distinguished* iff when $X \neq Y$ are elements in \mathcal{D} then $\mathbf{S}(X \setminus Y) \neq \mathbf{S}(Y \setminus X)$.

From our work thus far, the following two theorems are easy exercises.

Theorem 14. If \mathcal{D} is distinguished then $\sigma : \mathcal{D} \rightarrow \mathbf{Q}^+$ is injective. ■

We do not allege the converse of Theorem 14.

When $B \subset \mathbf{N}$ and $n \in \mathbf{N}$, let $B^n := \{j^n : j \in B\}$. And, for \mathcal{D} a family of finite nonempty subsets of \mathbf{N} , let $\mathcal{D}^{(n)} := \{B^n : B \in \mathcal{D}\}$.

Theorem 15. A family \mathcal{D} of finite nonempty subsets of \mathbf{N} is distinguished if and only if $\mathcal{D}^{(n)}$ is distinguished for every $n \in \mathbf{N}$. Hence, if \mathcal{D} is distinguished then $\sigma \upharpoonright \mathcal{D}^{(n)}$ is injective for every n . ■

Problem. Identify the maximal distinguished subfamilies of the collection $\mathcal{P}(\mathbf{N})$ of all subsets of \mathbf{N} ?

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