

## ISOTROPIC VARIETIES IN THE SINGULAR SYMPLECTIC GEOMETRY

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Maximal isotropic varieties of the singular symplectic structure  $x_1 dx_1 \wedge dy_1 + \sum_{i=2}^n dx_i \wedge dy_i$  on  $\mathbb{R}^{2n}$  are characterised in terms of generating families. The normal forms of the simplest singularities (of codimension 1) are obtained with the help of the theory of boundary singularities.

### 1. INTRODUCTION

Many of the regular properties of physical systems have been described successfully in the symplectic geometry framework (see [1, 9, 16]). However the singularities of wave front evolution [3], critical regions phenomena [8] and the low-temperature thermodynamics require another approach. As a first step towards a better modelling of these peculiar phenomena we investigate the geometry of maximal isotropic submanifolds in the phase space endowed with the simplest stable singular symplectic structure

$$\sigma = x_1 dx_1 \wedge dy_1 + \sum_{i=2}^n dx_i \wedge dy_i$$

introduced in the theory of singularities of closed 2-forms (see [11]).

In section 2 we give the canonical representations of maximal isotropic submanifolds ( $\sigma$ -germs) in  $(\mathbb{R}^{2n}, \sigma)$  by means of generating functions. Then we obtain the  $\sigma$ -germs as pull-backs of Lagrangian submanifolds in  $(\mathbb{R}^{2n}, \sum_i dx_i \wedge dy_i)$ . In section 3 we generalise the  $\sigma$ -germs to  $\sigma$ -varieties. Then we obtain the initial classification list of normal forms of the  $\sigma$ -varieties in terms of generating families. These results are derived in the standard singularity theory fashion with an essential use of Arnold's classification of boundary singularities [2].

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2. LOCAL STRUCTURE OF MAXIMAL ISOTROPIC MANIFOLDS

Let us consider  $\mathbb{R}^{2n}$  with fixed coordinates  $(x_1, \dots, x_n, y_1, \dots, y_n)$  and a 2-form  $\sigma = x_1 dx_1 \wedge y_1 + \sum_{i=2}^n dx_i \wedge dy_i$ . A maximal isotropic manifold ( $\sigma$ -manifold) is defined as an immersed  $n$ -dimensional submanifold  $M = \iota(\mathbb{R}^n)$  of  $\mathbb{R}^{2n}$ , where  $\iota: \mathbb{R}^n \rightarrow \mathbb{R}^{2n}$  is a smooth immersion such that  $\iota^* \sigma = 0$ . In this section we characterise germs at  $0 \in \mathbb{R}^{2n}$  of  $\sigma$ -manifolds. We denote them by  $(M, 0)$  and call them  $\sigma$ -germs. A germ  $(\iota, 0)$  of the immersion  $\iota: \mathbb{R}^n \rightarrow \mathbb{R}^{2n}$  can always be written in one of the following two forms:

(1)

$$\iota: (x_I, y_1, y_J) \in \mathbb{R}^n \mapsto (X_1(x_I, y_1, y_J), x_I, X_J(x_I, y_1, y_J), y_1, Y_I(x_I, y_1, y_J), y_J) \in \mathbb{R}^{2n}$$

or

(2)

$$\iota: (x_1, x_I, y_J) \in \mathbb{R}^n \mapsto (x_1, x_I, X_J(x_1, x_I, y_J), Y_1(x_1, x_I, y_J), Y_I(x_1, x_I, y_J), y_J) \in \mathbb{R}^{2n}$$

where  $X: \mathbb{R}^n \rightarrow \mathbb{R}^{|J|}$ ,  $Y_I: \mathbb{R}^n \rightarrow \mathbb{R}^{|I|}$  and  $Y_1, X_1: \mathbb{R}^n \rightarrow \mathbb{R}$  are smooth germs ( $I \cup J = \{2, \dots, n\}$ ,  $I \cap J = \emptyset$ ). Using the results of [2, 16], we obtain,

PROPOSITION 2.1. A  $\sigma$ -germ,  $(M, 0)$ , can be represented by at least one of the following systems of equations:

$$\begin{aligned} \frac{1}{2} x_1^2 &= \frac{\partial F}{\partial y_1}(y_1, x_I, y_J) \\ y_I &= \frac{\partial F}{\partial x_I}(y_1, x_I, y_J) \\ -x_J &= \frac{\partial F}{\partial y_J}(y_1, x_I, y_J) \end{aligned}$$

or

$$\begin{aligned} x_1 y_1 &= \frac{\partial F}{\partial x_1}(x_1, x_I, y_J) \\ y_I &= \frac{\partial F}{\partial x_I}(x_1, x_I, y_J) \\ -x_J &= \frac{\partial F}{\partial y_J}(x_1, x_I, y_J) \end{aligned}$$

where  $F$  is a germ of smooth function on  $\mathbb{R}^n$  and  $I \cup J = \{2, \dots, n\}$ ,  $I \cap J = \emptyset$ .

A  $\sigma$ -germ having representation (3) is called regular. A diffeomorphism  $\mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}$  preserving the 2-form  $\sigma$  and the fibration  $\pi: \mathbb{R}^{2n} \rightarrow \mathbb{R}^n$ ,  $(x, y) \rightarrow x$  is called a  $\sigma$ -equivalence.

LEMMA 2.2. Any  $\sigma$ -germ is  $\sigma$ -equivalent to a regular  $\sigma$ -germ.

PROOF: If an immersion  $\iota: \mathbb{R}^n \rightarrow \mathbb{R}^{2n}$  is not regular, it has representation (1) with

$$(5) \quad \frac{\partial Y_1}{\partial x_1}(0) = 0.$$

In this case its composition with the  $\sigma$ -equivalence  $\phi: \mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}, (x, y) \rightarrow (x, x + y)$  is regular (since it has a representation of the form (1) but not satisfying (5)). ■

Let us now consider a symplectic form  $\omega \stackrel{\text{def}}{=} \sum dx_i \wedge dy_i$  on  $\mathbb{R}^{2n}$ . We recall some basic notions of the standard theory of Lagrangian singularities [2, 15]. A symplectomorphism of  $(\mathbb{R}^{2n}, \omega)$  preserving the fibration  $\pi$  is called a *Lagrangian equivalence* (*L-equivalence*). An L-equivalence preserving the hyperplane  $\{x_1 = 0\}$  will be called *restricted* (*rL-equivalence*). An  $n$ -dimensional immersed submanifold  $\iota: \mathbb{R}^n \rightarrow \mathbb{R}^{2n}$  such that  $\iota^*\omega = 0$  is called *Lagrangian*; in such a case the germ  $(L, 0), L \stackrel{\text{def}}{=} \iota(\mathbb{R}^n)$ , will be called an *L-germ*.

The transformation

$$(6) \quad \rho: (x, y) \in \mathbb{R}^{2n} \mapsto \left( \frac{1}{2}x_1^2, x_2, \dots, x_n, y_1, \dots, y_n \right) \in \mathbb{R}^{2n}$$

preserves the fibration  $\pi$  and satisfies the condition

$$(7) \quad \rho^*\omega = \sigma.$$

Obviously  $\rho$  is not a unique transformation with these properties. For example its composition with any Lagrangian equivalence of  $(\mathbb{R}^{2n}, \omega)$  has the same properties.

PROPOSITION 2.3.

- (i) For any rL-equivalence  $\Phi$  of  $(\mathbb{R}^{2n}, \omega)$  there exists a  $\sigma$ -equivalence  $\phi$  making the following diagram commutative:

$$(8) \quad \begin{array}{ccc} \mathbb{R}^{2n} & \xrightarrow{\Phi} & \mathbb{R}^{2n} \\ \uparrow \rho & & \uparrow \rho \\ \mathbb{R}^{2n} & \xrightarrow{\phi} & \mathbb{R}^{2n} \end{array}$$

- (ii)  $(\rho(L), 0)$  is an L-germ for any regular  $\sigma$ -germ  $(L, 0)$ .

PROOF:

- (i) For any rL-equivalence  $\Phi$  we have  $\Phi(x, y) = (X_i(x), Y_i(x, y))$ , where  $X_1(x) = x_1(a + \alpha(x)), 0 \neq a \in \mathbb{R}$  and  $\alpha \in \mathfrak{m}_x^2$ . A diffeomorphism  $\phi$

satisfying diagram (8) and preserving the fibration  $\pi$ , can be defined as follows:

$$\phi(x, y) \stackrel{\text{def}}{=} \left( x_1 \sqrt{a + \alpha(\xi)}, X_2(\xi), \dots, X_n(\xi), Y_1(\xi, y), \dots, Y_n(\xi, y) \right) \Big|_{\xi=(\frac{1}{2}x_1^2, x_2, \dots, x_n)}.$$

For such  $\phi$  we have  $\phi^* \sigma = \phi^* \rho^* \omega = \rho^* \Phi^* \omega = \rho^* \omega = \sigma$  (see 7).

(ii) follows directly from equation (3). ■

**Example 2.4.** For a regular  $\sigma$ -germ  $(M, 0)$ ,  $M = \{(t, t)\}$ , the set  $L \stackrel{\text{def}}{=} \rho(M)$  is the parabola  $x = y^2$ . Its pre-image is given by the equation  $x^2 - y^2 = 0$ . It contains  $M$  as one of two smooth branches.  $\rho^{-1}(L)$  is a symmetrisation (with respect to reflection in the  $y$ -axis) of this branch. On the basis of Proposition 2.1 we can easily calculate the generating function for  $L$ :  $F(y) = \frac{1}{3}y^3$ .

### 3. MODIFIED CLASSIFICATION OF LAGRANGIAN VARIETIES

It is well known [2, 15] that an L-germ  $(L, 0)$  in  $(\mathbb{R}^{2n}, \omega)$  is generated by the germ  $(F, 0)$  of a Morse family, that is, it is given by the equations

$$(9) \quad \begin{aligned} y &= \frac{\partial F}{\partial x}(\lambda, x), \\ 0 &= \frac{\partial F}{\partial \lambda}(\lambda, x), \end{aligned}$$

where  $F(\lambda, x) \in C^\infty(\mathbb{R}^k \times \mathbb{R}^n)$  and

$$(10) \quad \text{rank} \left( \frac{\partial^2 F}{\partial \lambda^2}, \frac{\partial^2 F}{\partial \lambda \partial x} \right) \Big|_0 = \max = k.$$

By dropping requirement (10) we generalise the notion of Morse family to *generating family* [9, 7]. By applying equations (9) to the generating family we obtain a Lagrangian variety (*L-variety*) which is not necessarily a smooth submanifold of  $\mathbb{R}^{2n}$ . (Such L-varieties appeared naturally in Arnold’s theory of singularities of systems of rays [3].) In the generic case, when the generating family  $F$  is polynomial, the corresponding L-variety is stratifiable with all strata isotropic and maximal strata Lagrangian [9, 6]. Two generating families  $(F_i, 0)$ ,  $F_i(\lambda, x) \in C^\infty(\mathbb{R}^k \times \mathbb{R}^n)$ ,  $i = 1, 2$ , are called *equivalent* if there exists a diffeomorphism

$$\Phi: (\mathbb{R}^k \times \mathbb{R}^n, 0) \rightarrow (\mathbb{R}^k \times \mathbb{R}^n, 0), \quad (\lambda, x) \mapsto (\Lambda(\lambda, x), X(x))$$

and a smooth function  $f \in C^\infty(\mathbb{R}^n)$  such that

$$(11) \quad F_2(\Lambda(\lambda, x), X(x)) = F_1(\lambda, x) + f(x)$$

near  $0 \in \mathbb{R}^k \times \mathbb{R}^n$ . The equivalence of generating families which preserves the hyperplane  $\{x_1 = 0\}$  will be called *restricted (r-equivalence)*. For r-equivalences the first coordinate of  $X$  is divisible by  $x_1$ , that is

$$(12) \quad X_1(x) = x_1(\alpha + \phi(x)),$$

where  $\alpha = \text{const} \neq 0$  and  $\phi \in \mathfrak{m}(n)$ . By straightforward calculation we obtain:

**PROPOSITION 3.1.** *Two L-varieties generated by r-equivalent generating families are rL-equivalent.*

**Remark 3.2.** For Morse families and L-germs the converse is true. From [16, 2] it follows that any two L-equivalent L-germs have equivalent minimal Mores families (that is Morse families  $F_i(\lambda, x)$  such that  $\partial^2 F_1 / \partial \lambda^2|_0 = 0$ ).

We recall [2, 5] that a generating family  $(F(\lambda, x), 0)$ ,  $(\lambda, x) \in \mathbb{R}^k \times \mathbb{R}^n$ , is *versal* if any other generating family  $(F'(\lambda, x'), 0)$ ,  $(\lambda, x') \in \mathbb{R}^k \times \mathbb{R}^{n'}$  such that  $F'|_{x'=0} = F|_{x=0}$  is induced from  $F$ , that is there exists a mapping

$$(13) \quad (\lambda, x') \in \mathbb{R}^k \times \mathbb{R}^{n'} \mapsto (\Lambda(\lambda, x'), X(x')) \in \mathbb{R}^k \times \mathbb{R}^n$$

and a function  $f: \mathbb{R}^{n'} \rightarrow \mathbb{R}$  such that

$$F'(\lambda, x') = F(\Lambda(\lambda, x'), X(x')) + f(x').$$

(Classifications of versal families can be found in [12, 10].)

For the purposes of this paper it seems natural to consider *restricted versality* by imposing on the inducing mappings (13) a requirement of preservation of distinguished hyperplanes, that is in the case of hyperplanes  $\{x_1 = 0\}$  and  $\{x'_1 = 0\}$ , by assuming  $X(\{x'_1 = 0\}) \subset \{x_1 = 0\}$ . This requirement means that  $X_1$ , the first coordinate of  $X$ , is of the form (12). The following result reduces the restricted versality to ordinary versality.

**PROPOSITION 3.3.** *A family  $(F(\lambda, x), 0)$  is restricted versal if and only if the family  $(F(\lambda, x)|_{x_1=0}, 0)$  is versal.*

**PROOF:**  $\Leftarrow$ . Assume  $(F(\lambda, x)|_{x_1=0}, 0)$ ,  $(\lambda, x) \in \mathbb{R}^k \times \mathbb{R}^n$  is a versal family and  $(F'(\lambda, x'), 0)$ ,  $(\lambda, x') \in \mathbb{R}^k \times \mathbb{R}^{n'}$  is such that  $F'|_{x'=0} = F|_{x=0}$ . Then  $(\lambda, x') \mapsto (\Lambda(\lambda, x'), 0, X_2(\lambda, x'), \dots, X_n(\lambda, x'))$  is the demanded morphism.

$\Rightarrow$ . Following the standard lines of versality theory [4, 13] for restricted versality we obtain the following necessary condition:

$$\left\langle \frac{\partial F}{\partial \lambda} \right\rangle_{\mathcal{E}_{\lambda_x}} + \left\langle x_1 \frac{\partial f}{\partial x_1}, \frac{\partial F}{\partial x_2}, \dots, \frac{\partial F}{\partial x_n}, 1 \right\rangle_{\mathcal{E}_x} = \mathcal{E}_{\lambda_x}.$$

Factorising by  $\mathbf{m}_x \mathcal{E}_{\lambda_x}$  we get the following condition of infinitesimal versality for  $F|_{x_1=0}$ :

$$\left\langle \frac{\partial F}{\partial \lambda} \Big|_{x=0} \right\rangle_{\mathcal{E}_\lambda} + \left\langle \frac{\partial F}{\partial x_2} \Big|_{x=0}, \dots, \frac{\partial F}{\partial x_n} \Big|_{x=0}, 1 \right\rangle_{\mathbf{R}} = \mathcal{E}_\lambda.$$

As is well known this condition implies versality of  $F|_{x_1=0}$  [2, 4, 11]. ■

In the case when the vector space  $\mathcal{E}_\lambda / \langle \frac{\partial F}{\partial \lambda}(\lambda, x)|_{x=0} \rangle_{\mathcal{E}_\lambda}$  has a finite number of generators, say  $\{e_1(\lambda), \dots, e_m(\lambda), 1\}$ , we have the decomposition

$$F(\lambda, x) = F(\Lambda(\lambda, x), 0) + \sum_{i=1}^m e_i \circ \Lambda(\lambda, x) u_i(x) + f(x)$$

for some smooth  $u = (u_1, \dots, u_m): \mathbf{R}^n \rightarrow \mathbf{R}^m$  and  $f: \mathbf{R}^n \rightarrow \mathbf{R}$  [4, 14], where  $\Lambda: \mathbf{R}^k \times \mathbf{R}^n \rightarrow \mathbf{R}^k$ ,  $\Lambda|_{\mathbf{R}^k \times \{0\}} = id_{\mathbf{R}^k}$ . From Proposition 3.3 we find that any other r-equivalent family  $(F', 0)$  has the form

$$F'(\lambda, x) = F(\Lambda(\lambda, x), 0) + \sum_{i=1}^m e_i(\Lambda(\lambda, x)) u'_i(x) + f(x),$$

where  $\Lambda|_{\mathbf{R}^k \times \{0\}}$  is a diffeomorphism of  $(\mathbf{R}^k, 0)$  and  $u'$  makes the following diagram commutative:

$$(14) \quad \begin{array}{ccc} (\mathbf{R}^n, \{x_1 = 0\}, 0) & \xrightarrow{u} & (\mathbf{R}^m, 0) \\ \downarrow \phi & & \uparrow u' \\ (\mathbf{R}^n, \{x_1 = 0\}, 0) & \xlongequal{\quad} & (\mathbf{R}^n, \{x_1 = 0\}, 0) \end{array}$$

Here  $\phi$  is a diffeomorphism preserving the hyperplane  $\{x_1 = 0\}$ . It is apparent that r-equivalence classes of generating families  $(F(\lambda, x), 0)$  are parametrised by singularities of  $F|_{x=0}$  and equivalence classes of mappings  $u$  in the sense of diagram (14) (we call them  $\mathcal{A}_r$ -equivalences). In this context it is natural to introduce the following characteristics of  $F$ : (i) *codimension of  $(F, 0)$* ,  $\text{codim } F \stackrel{\text{def}}{=} \dim(\mathcal{E}_\lambda / \langle \frac{\partial F}{\partial \lambda}(\lambda, x)|_{x=0} \rangle_{\mathcal{E}_\lambda})$  and (ii) *corank of  $F = m - \text{rank}(\frac{\partial u}{\partial x})|_{x=0}$* , where  $\tilde{u}: \mathbf{R}^n \rightarrow \mathbf{R}^m$  is assumed to be such that  $F$  is induced via a pull-back  $(\tilde{\Lambda}, \tilde{u})$  from a universal unfolding  $\tilde{F}$  of  $F|_{x=0}$ . It is easily seen that these two characteristics are invariants of r-equivalences. Now using Arnold's classification methods [3] we obtain lists of normal forms for some simplest r-equivalence classes. We consider here the simplest case of  $\text{codim} = 1$ . The case of  $\text{codim} = 2$  and 3 will be considered subsequently in the forthcoming paper.

**PROPOSITION 3.4.** *The list of simple normal forms of r-equivalence classes of generating families  $F(\lambda, x)$ ,  $(\lambda, x) \in \mathbb{R} \times \mathbb{R}^n$  of codimension 1 is the following:*

$$\begin{aligned}
 A_2 A_0^0 &: \lambda^3 + x_2 \lambda; \\
 A_2 A_k^0 &: \lambda^3 + (\pm x_2^{k+1} \pm x_1 + q) \lambda, \quad k \geq 1; \\
 A_2 D_k^0 &: \lambda^3 + (x_2 x_3^2 \pm x_2^{k-1} \pm x_1 + q) \lambda, \quad k \geq 4; \\
 A_2 E_6^0 &: \lambda^3 + (x_2^3 \pm x_3^4 \pm x_1 + q) \lambda; \\
 A_2 E_7^0 &: \lambda^3 + (x_2^3 + x_2 x_3^3 \pm x_1 + q) \lambda; \\
 A_2 E_8^0 &: \lambda^3 + (x_2^3 + x_3^5 \pm x_1 + q) \lambda; \\
 A_2 B_k^1 &: \lambda^3 + (\pm x_1^k + x_2^2 + q) \lambda, \quad k \geq 2; \\
 A_2 C_k^1 &: \lambda^3 + (x_1 x_2 \pm x_2^k + q) \lambda, \quad k \geq 2; \\
 A_2 F_4^1 &: \lambda^3 + (\pm x_1^2 + x_2^3 + q) \lambda;
 \end{aligned}$$

where  $q$  is a non-degenerate quadratic form of the remaining variables.

**PROOF:** Up to an r-equivalence we have

$$F(\lambda, x) = \lambda^3 + \lambda u(x),$$

where  $u: \mathbb{R}^n \rightarrow \mathbb{R}$ . Using the list of simple normal forms of singularities of  $u$  on the manifold  $\{x_1 \geq 0\} \subset \mathbb{R}^n$  with boundary  $\{x_1 = 0\}$  [2, Sec. 17.4] we obtain the above classification. ■

**Remark 3.5.**

- (i) In the above list  $A_2 A_0^0$  is the only restricted versal family.
- (ii) Families  $A_2 A_k^0$ ,  $A_2 D_k^0$  and  $A_2 E_i^0$  are Morse families while  $A_2 B_k^1$ ,  $A_2 C_k^1$  and  $A_2 F_4^1$  are not (and provide L-varieties which are not manifolds).
- (iii) Generating families  $(\tilde{F}(\lambda, x), 0)$ ,  $(\lambda, x) \in \mathbb{R}^k \times \mathbb{R}^n$ ,  $k \geq 2$  with  $\tilde{F}|_{x=0}$  having singularity  $A_2$  have simple normal forms  $F(\lambda_1, x) + Q(\lambda_2, \dots, \lambda_k)$ , where  $F$  has one of the normal forms in Proposition 3.4 and  $Q$  is a non-degenerate quadratic form. Obviously  $\tilde{F}$  and  $F$  generate the same L-variety.

We define a  $\sigma$ -variety as a  $\rho$  pull-back (see [6]) of a L-variety in  $\mathbb{R}^{2n}$ . Having a generating family  $(F(\lambda, x), 0)$ ,  $(\lambda, x) \in \mathbb{R}^m \times \mathbb{R}^n$  for the L-variety, we obtain the following equations for the corresponding  $\sigma$ -variety  $V_F$ :

$$\begin{aligned}
 y_1 &= \frac{\partial F}{\partial \xi_i} \left( \lambda, \frac{1}{2} x_1^2, x_2, \dots, x_n \right), \\
 0 &= \frac{\partial F}{\partial \lambda} \left( \lambda, \frac{1}{2} x_1^2, x_2, \dots, x_n \right).
 \end{aligned}$$

Directly from Proposition 2.1 and the existence theorem for Morse families (for example [2, 16]) we obtain:

**PROPOSITION 3.6.** *For any regular  $\sigma$ -germ,  $(\Sigma, 0)$ , there exists a generating family  $(F, 0)$  on  $\mathbb{R}^m \times \mathbb{R}^n$  such that*

$$\Sigma^{\text{sym}} \stackrel{\text{def}}{=} \{(\pm x_1, x_2, \dots, x_n, y); (x, y) \in \Sigma\} = V_F \text{ near } 0 \in \mathbb{R}^{2n}.$$

From Lemma 3.7 and Proposition 2.3 follows immediately:

**PROPOSITION 3.7.** *Two  $\sigma$ -varieties corresponding to  $r$ -equivalent generating families are  $\sigma$ -equivalent.*

The above results show that the local classification of  $\sigma$ -germs is subordinate to the classification of  $\sigma$ -varieties, and subsequently to the classification of generating families up to  $r$ -equivalences (described in Section 3).

**THEOREM 3.8.** *Initial classification of generic  $\sigma$ -varieties is provided by the classification list of generating families in Proposition 3.4.*

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