

# Automata Theory and Formal Languages

## Class 5

Marcin Luckner, PhD  
mluckner@mini.pw.edu.pl

Version 1.2  
23 listopada 2021



## Context-free language

- A context-free grammar generates a context-free language.
- The only way to show that a language is context-free is the construction of grammar.
- It can be shown that a language is not context-free using the contraposition of pumping lemma for context-free languages.
- The Cocke–Younger–Kasami (CYK) algorithm allows us to show that a word belongs to the language.

## Contraposition of pumping lemma

### Contraposition of pumping lemma

If for each  $n_L$  exists  $z \in L$  such that

$$\begin{aligned} & (|z| \geq n_L) \wedge \\ & [(\forall u,v,w,x,y z = uvwxy \wedge |vwx| \leq n_L \wedge |vx| \geq 1) \\ & \quad \exists_{i=0,1,2\dots} z_i = uv^i wx^i y \notin L] \end{aligned}$$

then the language is not context-free.

## Comparison of lemmata

- The lemmata for regular and context-free languages are similar but differs in several important aspects.

### Regular language

- $z = uvw$
- $|uv| \leq n_L$
- $|v| \geq 1$
- $z_i = uv^i w$

### Context-free language

- $z = uvwxy$
- $|vwx| \leq n_L$
- $|vx| \geq 1$
- $z_i = uv^i wx^i y$

## Discussion of lemmata

- An additional segment  $u$  in  $z = uvwxy$  causes that a block of elements that can be change goes through the whole words.
- The block width is  $n_L$ , so its influence can be reduced by suitable  $z$ .
- We can pump two elements int the same time  $z_i = uv^i wx^i y$ . Therefore, it is harder to create a word outside the language.

# Contraposition of pumping lemma - example I

Using the contraposition of pumping lemma show that language  $L = \{a^i b^j : j = i^2\}$  over alphabet  $\Sigma = \{a, b\}$  is not context-free.

## Contraposition of pumping lemma - example II

$\forall n \in \mathbb{N}$  word

$$z = a^n b^{n*n}$$

belongs to language  $L$ .

Because  $|vwx| \leq n$  we have to discuss three cases:

1.  $vwx = a^k \implies v = a^p \wedge x = a^q$
2.  $vwx = b^k \implies v = b^p \wedge x = b^q$
- 3.

$$\begin{aligned} vwx = a^k b^j \implies & (v = a^p \wedge x = a^q b^j) \vee \\ & (v = a^k b^p \wedge x = b^q) \vee \\ & (v = a^p \wedge x = b^q) \end{aligned}$$

where  $k, j \in \{1, \dots, n\} \wedge p, q \in \{0, \dots, n\}$ .

## Contraposition of pumping lemma - example III

- Case 1: ( $v = a^p \wedge x = a^q$ ) choose  $i = 0$ .
  - then  $z_0 = a^{n-(p+q)}b^{n*n} \notin L$
- Case 2: ( $v = b^p \wedge x = b^q$ ) choose  $i = 0$ .
  - then  $z_0 = a^n b^{n*n-(p+q)} \notin L$



## Contraposition of pumping lemma - example IV

- Case 3: there are three sub cases including two similar.
  - Case 1 ( $v = a^p \wedge x = a^q b^j$ ): choose  $i = 2$ 
    - after multiplication
 
$$z_2 = a^{n-k}(a^p)(a^p)a^{k-p-q}(a^q b^j)(a^q b^j)b^{n*n-j}$$
    - so  $z_2 = a^{n+p} b^j a^q b^{n*n} \notin L$
  - Case2 ( $v = a^k b^p \wedge x = b^q$ ): choose  $i = 2$ 
    - after multiplication
 
$$z_2 = a^{n-k}(a^k b^p)(a^k b^p)b^{j-p-q}(b^q)(b^q)b^{n*n-j}$$
    - so  $z_2 = a^n b^p a^k b^{n*n+q} \notin L$

## Contraposition of pumping lemma - example IV

- In the last case ( $v = a^p \wedge x = b^q$ ): choose  $i = 2$ .
- Then  $z_2 = a^{n+p} b^{n*n+q}$ .
- However  $z_2$  can be in the language if  $(n+p)^2 = n^2 + q$ .
- Let's suppose that  $p = 1$ . In such case the number of  $b$  letters equals  $(n+1)^2 = n^2 + 2n + 1$ .
- That means that  $q > n$  and we know that  $|vwx| \leq n$ , so it is not possible.
- For  $p > 1$  value  $q$  grows even more rapidly. Therefore  $z_2 = a^{n+p} b^{n*n+q} \notin L$
- So, the language is not context-free.

# Algorithm Cocke–Younger–Kasami

- Algorithm Cocke–Younger–Kasami (CYK) allows us to determine if word  $w$  of length  $n$  is generated by grammar  $G$ .
- The input grammar must be in CNF.
- The algorithm is based on dynamic programming.

## Algorithm CYK

1. We split word  $w$  into  $n$  one letter strings and find nonterminals that generate the letters. For that, we are looking for productions  $A \rightarrow a$ , where  $a \in T$ .
2. Knowing nonterminals that generate for  $w$  substrings of length  $k$ , we are looking for nonterminals that generate substrings of length  $k + 1$ :
  - 2.1 We split a string of length  $k + 1$  into all possible pairs of substrings.
  - 2.2 Each prefix and corresponding suffix are already defined by nonterminals.
  - 2.3 We find all nonterminals  $A$  with production  $A \rightarrow BC$ , where  $B, C \in V$  generate prefix and suffix.
  - 2.4 The founded nonterminals generate substrings of length  $k + 1$ .
3. Finally, we get a set of nonterminals that generate a substring of length  $n$  that means word  $w$ . The grammar generates word  $w \iff$  the set contains the starting symbol  $S$ .

## Algorithm CYK - example I

Use the algorithm CYK to check if word *anna* belongs to the language generated by the following grammar

$$G = \{V = \{A, B, C, D, E, F, N, S\}, T = \{a, n, w\}, P, S\}$$

*P*:

$$S \rightarrow NN|NA$$

$$N \rightarrow BB|BD|w$$

$$B \rightarrow n$$

$$D \rightarrow NB$$

$$A \rightarrow CC|EC|CF$$

$$C \rightarrow a$$

$$E \rightarrow CA$$

$$F \rightarrow NC$$

## Algorithm CYK - example II

*P:*

$$S \rightarrow NN|NA$$

$$N \rightarrow BB|BD|w$$

$$B \rightarrow n$$

$$D \rightarrow NB$$

$$A \rightarrow CC|EC|CF$$

$$C \rightarrow a$$

$$E \rightarrow CA$$

$$F \rightarrow NC$$

- Find variables that generate terminals

<i>C</i>	<i>B</i>	<i>B</i>	<i>C</i>
a	n	n	a

## Algorithm CYK - example III

*P:*

$$S \rightarrow NN|NA$$

$$N \rightarrow BB|BD|w$$

$$B \rightarrow n$$

$$D \rightarrow NB$$

$$A \rightarrow CC|EC|CF$$

$$C \rightarrow a$$

$$E \rightarrow CA$$

$$F \rightarrow NC$$

- Find variables that generate substrings of length 2

$\emptyset$	$N_{BB}$	$\emptyset$	
$C$	$B$	$B$	$C$
$a$	$n$	$n$	$a$

# Algorithm CYK - example IV

*P:*

$$S \rightarrow NN|NA$$

$$N \rightarrow BB|BD|w$$

$$B \rightarrow n$$

$$D \rightarrow NB$$

$$A \rightarrow CC|EC|CF$$

$$C \rightarrow a$$

$$E \rightarrow CA$$

$$F \rightarrow NC$$

- Find variables that generate substrings of length 3

$\emptyset$	$F_{NC}$		
$\emptyset$	$N_{BB}$	$\emptyset$	
$C$	$B$	$B$	$C$
$a$	$n$	$n$	$a$



## Algorithm CYK - example V

*P:*

$$S \rightarrow NN|NA$$

$$N \rightarrow BB|BD|w$$

$$B \rightarrow n$$

$$D \rightarrow NB$$

$$A \rightarrow CC|EC|CF$$

$$C \rightarrow a$$

$$E \rightarrow CA$$

$$F \rightarrow NC$$

- Find variables that generate word *anna*

$A_{CF}$			
$\emptyset$	$F_{NC}$		
$\emptyset$	$N_{BB}$	$\emptyset$	
$C$	$B$	$B$	$C$
$a$	$n$	$n$	$a$

- Variable  $A$  generates word  $w = anna$ , but  $w \notin L$  because  $S \neq A$ .

## Algorithm CYK - example VI

- Is word *wanna* in the language?

*P:*

$$S \rightarrow NN|NA$$

$$N \rightarrow BB|BD|w$$

$$B \rightarrow n$$

$$D \rightarrow NB$$

$$A \rightarrow CC|EC|CF$$

$$C \rightarrow a$$

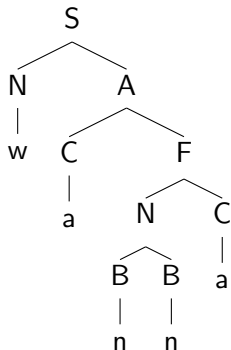
$$E \rightarrow CA$$

$$F \rightarrow NC$$

$S_{NA}$					
$\emptyset$	$A_{CF}$				
$\emptyset$	$\emptyset$	$F_{NC}$			
$F_{NC}$	$\emptyset$	$N_{BB}$	$\emptyset$		
$N$	$C$	$B$	$B$	$C$	
$w$	$a$	$n$	$n$	$a$	

- Word  $w = \textit{wanna}$  belongs to the language because it can be generated from  $S$

## Derivation tree



- By indexing nonterminals to show which production was used, we can reconstruct a derivation of the word
- A derivation is reconstructed by a tree called the derivation tree.
- A word can have more than one derivation tree.

# Assignments I

1. Use the algorithm CYK to check if words

1.1 *baaba*, *aaaaa*, *aaaaaa* belong to the following language

$$G = \{V = \{A, B, C, S\}, T = \{a, b\}, P, S\}$$

$$P: S \rightarrow AB|BC$$

$$A \rightarrow BA|a$$

$$B \rightarrow CC|b$$

$$C \rightarrow AB|a$$

1.2 *((()(()))* belongs to the following language

$$G = \{V = \{S\}, T = \{C, )\}, P, S\}$$

$$P: S \rightarrow SS|(S)|\epsilon$$

## Assignments II

2. Using the contraposition of pumping lemma show that the following languages are not context-free.
  - 2.1 Language  $L$  over alphabet  $\Sigma = \{a, b, c\}$ , such that the number of occurrences of symbols  $a, b, c$  is equal.  $abbacc \in L$ ,  $accaabb \notin L$
  - 2.2 Language  $L = \{a^i b^j c^k : i \leq j \wedge j \leq k\}$  over alphabet  $\Sigma = \{a, b, c\}$
  - 2.3 Language  $L = \{a^i b^j c^k : i \neq j \wedge j \neq k\}$  over alphabet  $\Sigma = \{a, b, c\}$